

ON-LINE MONITORING OF BIOMASS CONCENTRATION BASED ON A CAPACITANCE SENSOR: ASSESSING THE METHODOLOGY FOR DIFFERENT BACTERIA AND YEAST HIGH CELL DENSITY FED-BATCH CULTURES

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Abstract - The performance of an *in-situ* capacitance sensor for on-line monitoring of biomass concentration was evaluated for some of the most important microorganisms in the biotechnology industry: *Escherichia coli*, *Saccharomyces cerevisiae*, *Pichia pastoris* and *Bacillus megaterium*. A total of 33 batch and fed-batch cultures were carried out in a bench-scale bioreactor and biomass formation trends were followed by dielectric measurements during the growth phase as well as the induction phase, for 5 recombinant *E. coli* strains. Permittivity measurements and viable cellular concentrations presented a linear correlation for all the studied conditions. In addition, the permittivity signal was further used for inference of the cellular growth rate. The estimated specific growth rates mirrored the main trends of the metabolic states of the different cells and they can be further used for setting-up control strategies in fed-batch cultures.

Keywords: Capacitance probe; *E. coli*; *S. cerevisiae*; *P. pastoris*; *B. megaterium*; Specific growth rate.

INTRODUCTION

Undoubtedly, reliable on-line measurements of biomass concentration are the most important information for running a fed-batch culture. Continuous measurement of cell concentration is technically feasible by means of several techniques such as laser turbidimetry, suspended solid concentration analysis, *in situ* microscopy, near-infrared spectroscopy, laser light scattering analysis, fluorescence, flow cytometry, dielectric spectroscopy and capacitance measurements,

as well as with optical density scanners (Yamane *et al.*, 1991; Macaloney *et al.*, 1997; Xiong *et al.*, 2008; Kiviharju *et al.*, 2008; Dabros *et al.*, 2009; Diaz *et al.*, 2010; Downey *et al.*, 2014; Wyre and Overton, 2014).

Among the above mentioned alternatives, dielectric spectroscopy is one of the most popular biomass sensors and has been applied so far to monitor biomass formation in submerged cultures of different organisms like stem cells, animal cells, plant cells, *Escherichia coli*, *Saccharomyces cerevisiae*, *Lactobacillus casei*, *Streptomyces sp.*, among others

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(Cannizzaro *et al.*, 2003; Arnoux *et al.*, 2005; Ferreira *et al.*, 2005; Maskov *et al.*, 2008; Reis *et al.*, 2009; Knabben *et al.*, 2010; Justice *et al.*, 2011; Horta *et al.*, 2012; Bryant *et al.*, 2011; Justice *et al.*, 2011; Wajgali *et al.*, 2013).

The capacitance probe working principle is based on the polarization of the cell membrane when placed in an ionic solution and subjected to an alternating electric field. Ion movement within the highly conducting cellular cytoplasm is restricted by the non-conductive cellular membrane and each cell will act as a capacitor. The polarization charge is measured by the capacitance of the solution, and the cell polarization is a function of the electric field frequency. At low frequencies (< 0.1 MHz), cell membranes are completely polarized and the capacitance of the solution is high. As the excitation frequency increases up to 10 MHz, the capacitance will decrease due to the incomplete cellular polarization. At high electric field frequencies (>10 MHz), there is not enough time for complete cell polarization and only small particles are polarized. The magnitude of the membrane polarization is directly proportional to the volume that it encapsulates. Hence, the dielectric permittivity is directly proportional to the viable biomass concentration, since dead cells do not present polarization in their membranes (Davey and Kell, 1998; Markx and Davey, 1999).

The possibility of assessing the physiological state of the cells through the dielectric properties of cell suspensions is a very important feature when capacitance probes are used for monitoring genetically modified organisms (Matanguihan *et al.*, 1994). Usually strong promoters are used for heterologous protein production in host cells, exposing them to a stressful condition after induction takes place. This problem has been widely described for recombinant protein expression in *E. coli* under the control of T7lac and λ promoters (Khalilzadeh *et al.*, 2003; Shiloach and Fass, 2005; Norsyahida *et al.*, 2009) and the main consequences are: viability loss, growth cessation or even cell death, acetate production and substrate accumulation.

In addition, since dielectric permittivity measurements are sensitive to cell viability, they can be used for recognition of physiological changes during the cultivation (Markx and Davey, 1999; Kiviharju *et al.*, 2008; Maskow *et al.*, 2008), like the Crabtree effect in yeast cultures (Davey *et al.*, 1996); overflow metabolism in *E. coli* fermentations (Knabben *et al.*, 2010) and spore formation in *Bacillus* or fungi cultivations (Sarrafzadeh *et al.*, 2005).

Given on-line capacitance measurements, the probe signal can be correlated to viable cell concentration. Furthermore, once on-line inferred biomass

concentration data are available, they can be used in several applications, including the on-line estimation of the specific growth rate and the control of feeding flow rate in fed-batch cultures (Dabros *et al.*, 2010; Horta *et al.*, 2012; Horta *et al.*, 2014).

This work presents a discussion of the use of a permittivity biomass sensor in batch and fed-batch cultures of 4 different microorganisms, with the following objectives: i) evaluate the performance of a capacitance sensor for on-line estimation of biomass concentrations of four different microorganisms; ii) estimate on-line values of the specific growth rates from the raw permittivity signal provided by the capacitance sensor.

MATERIALS AND METHODS

Microorganisms and Cultivation Media

Different wild and recombinant microorganisms were used in the studies:

i) *Bacillus megaterium*: Strain PV361 was gently donated by Prof. Patricia S. Vary, Northern Illinois University. PV361 is a variant of the strain QMB1551, where the genes of its seven constitutive megaplasmids were deleted (Silva, 2001).

ii) Five different clones of *Escherichia coli* BL21(DE3) were studied. The first one, called *E. coli* "a", expresses a fragment of the antigenic surface protein SpaA from *Erysipelothrix rhusiopathiae* (Silva *et al.*, 2012, 2013). The second, third and fourth clones, called *E. coli* "b", "c" and "e", produces fragments of the antigenic surface proteins PspA clade 1, 3 and 4 from *Streptococcus pneumoniae* (Carvalho *et al.*, 2012; Sargo, 2011; Santos, 2012) and were kindly provided by Dr. Eliane Miyaji and Dr. Luciana Cerqueira Leite (Centro de Biotecnologia, Instituto Butantan, São Paulo, Brazil). The fifth clone, called *E. coli* "d", transformed with the plasmid pT101/D-TOPO with *pac* gene codifying for penicillin G acylase (PGA) production (Montes *et al.*, 2007), was kindly donated by the Laboratorio de Biocatálisis, ICP-CSIC-Madri, Spain.

iii) Recombinant cells of *Pichia pastoris* GS115 (Invitrogen USA) expressing α -amylase from *B. subtilis* were kindly provided by Prof. Fernando Torres (University of Brasilia) (Macauley-Patrick *et al.*, 2005; Araújo, 2008; Burke *et al.*, 2000).

iv) *Saccharomyces cerevisiae*: batches and fed-batches were carried out with fresh baker's yeast.

Modified complex auto-induction media formulations (Studier, 2005, Silva *et al.*, 2012, Santos, 2012), containing yeast extract and Tryptone or Phytone® as nitrogen sources and glycerol, glucose and

lactose as carbon sources, were used in cultivations of *E. coli* “a” and “e”; a modified defined HDF medium (Seeger *et al.*, 1995; Sargo, 2011) was used in cultivations of *E. coli* “b” and “c” and both media were used for cultivations of *E. coli* “d” (Vélez *et al.*, 2014). Commercial *S. cerevisiae* was cultivated in complex medium containing glucose (10 g/L) as main carbon source and KH_2PO_4 (5.0 g/L), $(\text{NH}_4)_2\text{SO}_4$ (4.5 g/L), MgSO_4 (0.5 g/L) and yeast extract (30 g/L). Recombinant *P. pastoris* was cultivated in complex medium containing yeast extract and peptone as complex nutrients and glucose as main carbon source (Montano, 2010). *B. megaterium* was also cultivated in complex SNB and LB media, supplemented or not with cheese whey (Suárez, 2010).

Bioreactor Operation, Instrumentation and Automation

The cultivations were conducted in a 5 L (in-house) bioreactor monitored by SuperSys_HCDC® (Horta *et al.*, 2011, 2014). The pH was controlled (on/off) at 6.7 (*E. coli*), 6.5 (*Pichia pastoris*) and 7.5 (*Bacillus megaterium*) (pHmeter GLI PRO) by addition of H_3PO_4 and NH_4OH 30% (v/v). Temperature was set at 27 °C for *Saccharomyces cerevisiae*, 30 °C for *Bacillus megaterium*, *E. coli* “b” and “c” and “e” as well as for *Pichia pastoris*. *E. coli* “a” was cultivated at 37 °C and *E. coli* “d” at 20 °C (induction phase). Dissolved oxygen concentration (DOC) was monitored by a Mettler Toledo probe Inpro 6800, connected to a CE O_2 4050 transmitter, and kept at 30% of its saturation limit (20% for *Bacillus megaterium*) by a hybrid controller which automatically changed both agitation speed (between 200 and 900 rpm) and the composition of the gas stream supplied to the bioreactor (by mixing pure oxygen with air). The DOC calibration (100%) was done at the beginning of the experiment, before inoculation. The gas stream supplied to the bioreactor was a mixture of air and pure oxygen and its total flow rate was maintained at 6 L/min by two mass flow controllers (GFC AALBORG). The exhaust gas composition was assessed by a Sick/Maihak S.710 CO_2 and O_2 analyzer. The broth permittivity and conductivity were monitored by a biomass sensor from FOGALE® Nanotech. On-line data acquisition, as well as monitoring/control of all instruments via a compact field point 2020 (cFP-2020, National Instruments), was performed by the software SuperSys_HCDC^R developed in LabView®.

The feeding supply was provided by an Ismatec – BVP pump following the exponential profile given by equation 1 (Nielsen *et al.*, 2002).

$$F = \left(\frac{\mu}{Y_{XS}} + m \right) \cdot \frac{C_{X0} \cdot V_0}{C_{S0} - C_{SR}} \cdot e^{(\mu_{SET} \cdot t)} \quad (1)$$

In Equation (1), F (Lh^{-1}) is the feed flow rate, μ_{SET} (h^{-1}) is the desired specific growth, Y_{XS} ($\text{g DCW g}_{\text{glycerol}}^{-1}$) is the biomass yield coefficient on glycerol or glucose, m ($\text{g DCW g}_{\text{glycerol}}^{-1} \text{h}^{-1}$) is the maintenance coefficient, C_{X0} [g (DCW) L^{-1}] and V_0 (L) correspond to the cellular concentration and volume, respectively, at the beginning of the fed-batch phase, C_{S0} ($\text{g}_{\text{glycerol}} \text{L}^{-1}$) is the carbon source concentration in the supplementary medium and C_{SR} ($\text{g}_{\text{glycerol}} \text{L}^{-1}$) represents the residual carbon source (glycerol or glucose) concentration.

The exponential feed profile changed according to the cultivated microorganism. For *B. megaterium* and *P. pastoris*, a pre-defined feeding profile with μ_{SET} equal to 0.12 h^{-1} was used. For *E. coli*, different feeding strategies were studied, including pre-defined feeding profiles at μ_{SET} equal to 0.13 h^{-1} (*E. coli* “a”) and 0.3 h^{-1} (*E. coli* “b”). In addition, cultivations of *E. coli* “a”, “b”, “c” and “d” were also carried out using an advanced controller for the feeding flow rate given by Eq. (1), where the parameters μ_{SET} , Y_{XS} e m were continuously and automatically retuned at each 10 min interval using the values of C_X and μ obtained online from the permittivity measurements provided by the capacitance biomass sensor (Horta *et al.*, 2012). For *E. coli* “e”, the cultivation was carried out in batch mode using an auto-induction strategy (Santos, 2012).

Analytical Methods

Biomass concentration in the samples withdrawn during the cultivations was measured by optical density readings at $\lambda = 600 \text{ nm}$. Biomass concentration was also assessed by the dry cell weight method. Metabolite concentrations and carbon source consumption were measured by High Performance Liquid Chromatography (HPLC) as described in Silva *et al.* (2008). Glycerol and glucose were also measured by enzymatic kits (Laborlab, Brazil) (Silva *et al.*, 2013; Sargo, 2011).

On-Line Biomass Inference and Specific Growth Rate Estimation

During all cultivations, online biomass monitoring by a capacitance sensor (BIOMASS System - Viable cell density monitoring - FOGALE nanotech) was carried out. A reference frequency of 10 MHz was used as blank signal, to compensate disturbances

caused by changes in the medium composition and in the overall bioreactor environment (agitation, aeration, presence of debris etc). The frequency of measurement was set at 2.076 MHz for yeast and 2.984 MHz for bacterial cultivations. The response signal at the reference frequency was automatically subtracted from the signal at the frequency of measurement (Fogale, 2014).

The probe response was transferred to the computer database via its analog output, in an acquisition period of one second. These data were used for on-line estimation of biomass concentration and specific growth rate as briefly described in the following. A detailed description of data treatment, including pseudocodes equations and calculations, is available in Horta *et al.* (2012).

Permittivity data was acquired as a 0.004-0.02 Ampere (A) analog signal from the Fogale Nanotech Instrument and multiplied by a calibration factor proportional to the instrument output range to convert the readings in A to pF/cm. This analog signal was noisy and it had to be treated first by a smoothed moving average (SMA) filter to be used as input in the calibration curve relating the smoothed permittivity to cellular concentration (g_{DCW}/L). The SMA filter is a variation of the classical moving average (CMA) filter. While the CMA filter assumes the value of the average of the rough data vector, SMA uses the average of the smoothed data array instead, leading to smaller smoothing window sizes (n) and shorter processing time. In this work, the SMA filter was implemented with the following n values: 40 (*E. coli*), 50 (*S. cerevisiae* and *P. pastoris*), 110 (*B. megaterium*). The smoothed permittivity output from the SMA filter, combined with mass balance equations for batch and fed-batch cultivations, was also used to estimate the specific growth rate (μ). The estimated raw μ data were further treated by a SMA filter to improve its smoothness (Horta *et al.*, 2012).

RESULTS AND DISCUSSION

Correlation Between Permittivity and Cell Concentration – Cx On-Line Estimation

The growth of microorganisms with different sizes, shapes and physiological states was on-line monitored by permittivity measurements.

The correlation between permittivity and cellular concentration can be observed in Figure 1 for *Bacillus megaterium*, *Saccharomyces cerevisiae*, *Pichia pastoris* and *Escherichia coli_a* and in Figure 2 for the recombinant *Escherichia coli* strains a, b, c, and d.

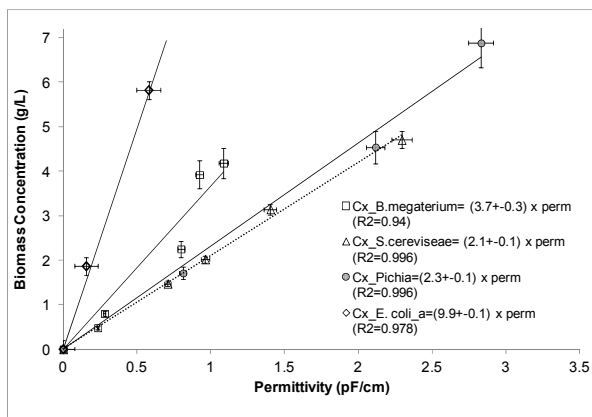


Figure 1: Correlation between permittivity [Perm (pF/cm)] and cellular concentration [Cx (gDCW/L)] for *B. megaterium*, *S. cerevisiae*, *P. pastoris* and *E. coli*. Symbols correspond to experimental data, lines correspond to linear fits.

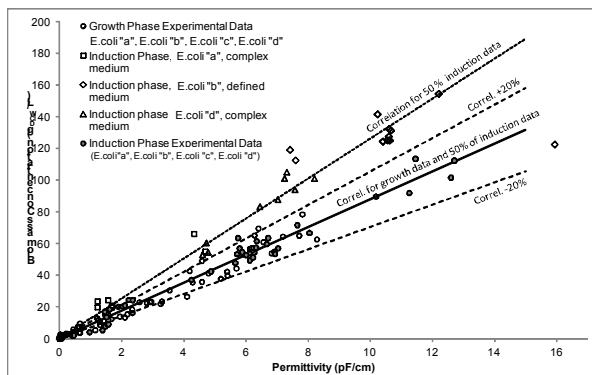


Figure 2: Correlation between permittivity [Perm (pF/cm)] and cellular concentration [Cx (gDCW/L)] for different recombinant *Escherichia coli* strains. Symbols correspond to experimental data, lines correspond to linear fits.

It can be seen in Figure 1 and Figure 2 that the correlation of permittivity and cellular concentration changes according to each microorganism, as expected. Good fits and very similar correlations were obtained for yeast cells, whose average diameters are in the ranges of 5 to 10 μm for *S. cerevisiae* and 1 to 2.2 μm for *P. pastoris*. Yet, the correlation parameters for *B. megaterium* and *E. coli* were rather different, reflecting the differences between these two bacteria in cell size (*E. coli* has a total volume of $\sim 1 \mu m^3$; *B. megaterium* cell volume is $\sim 60 \mu m^3$) and cell wall composition (*B. megaterium* is Gram positive, *E. coli* is Gram negative).

From the huge amount of available data for the 4 different *E. coli* clones grown in different media, for concentrations ranging from 0.5 to 150 gDCW/L, a

deep analysis of the capacitance sensor performance can be made. Thus, comparing *E. coli* “a”, “b”, “c” and “d” cultures, it can be noticed from Figure 2 that changes in temperature and medium composition do not cause significant deviations between permittivity and cellular concentration. In fact, the unique correlation reproduced in Eq. (2) could be used to estimate biomass concentration for all strains during the growth phase. We can also observe significant scattering for biomass concentrations lower than 20 g_{DCW}/L due to the lack of accuracy of permittivity measurements within this range.

On the other hand, 50 % of the data belonging to the induction phase could not be described by Eq. (2) and the new correlation given by Eq. (3) was generated to fit these data. Comparing the coefficients of Eqs. (2) and (3), it can be noticed that the slope increases during the induction phase. This phenomenon, not mentioned in the literature, is caused by the loss of cell viability associated with the stress caused by heterologous protein synthesis. Since non-viable cells do not contribute to the permittivity, the capacitance probe precisely reflected the change in cell physiology taking place during the induction phase (Silva *et al.*, 2013). This change is not detected by conventional optical density or dry weight measurements, which cannot distinguish viable and non-viable cells. Because of this mismatch between the two methodologies, a new correlation relating dry cell weight measurements to permittivity is required.

$$C_x = (8.8 \pm 0.1) \cdot \text{Perm} \quad (R^2 = 0.989) \quad (2)$$

$$C_x = (12.6 \pm 0.2) \cdot \text{Perm} \quad (R^2 = 0.984) \quad (3)$$

It is also interesting to notice that half of the induction phase data is described by Eq. (2) (Group 1) while the other half is described by Eq. (3) (Group 2). Group 1 contains the experimental points belonging to the beginning of induction. For all the cases discussed here, the production of heterologous protein was triggered by inducer addition, which can be IPTG or lactose. Shortly after inducer addition, the cells have not yet undergone drastic metabolic changes, which explains the validity of Eq. (2). Group 1 also includes 85 % of the experimental points from the cultivations carried out with *E. coli* “a” and “d” in complex media. It has been suggested in the literature that medium supplementation with amino acids or proteins can partially relieve the stress caused by the recombinant protein production (Shiloach and Fass, 2005; Shojaosadati *et al.*, 2008; Tripathi *et al.*, 2009). On the other hand, Group 2 consists mainly of data from *E. coli* “b” and “c” cultures, which were carried out in defined media. In these cases, the metabolic effort to produce the recombinant protein from basic medium components (glycerol, ammonia and salts) was significantly higher, impairing cell viability.

Figure 3 presents the validation of the correlation between biomass and the permittivity signal for 2 batch cultivations of *E. coli*_e in complex medium, carried out with 2 different nitrogen sources (Phytone® and Tryptone). As can be seen from Figure 3, the biomass concentration estimated from the permittivity signal by Eq. (2), which was obtained from *E. coli* “a”, “b”, “c” and “d” data, shows an excellent agreement ($R^2 = 0.93$ and 0.95) with the cell concentration determined by dry weight measurements.

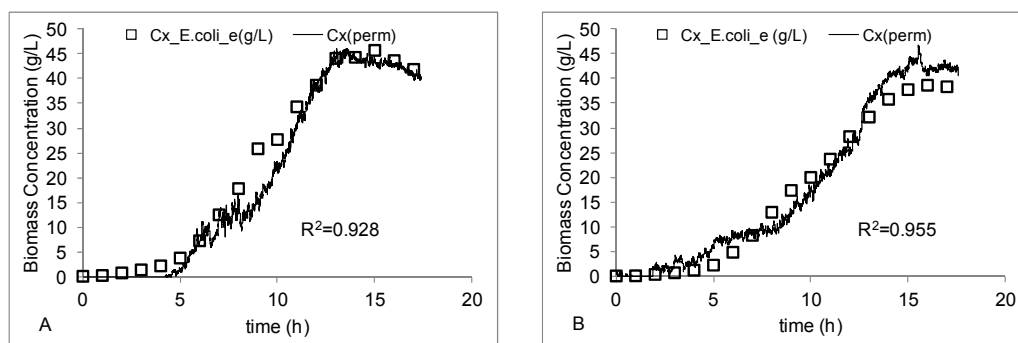


Figure 3: Biomass growth profile during *Escherichia coli*_e batch cultures (Santos, 2012). $C_x(\text{perm})$ is the biomass estimated from the permittivity signal using Equation (2) ($C_x = 8.8 \cdot \text{perm}$); and C_x is the cellular concentration (g_{DCW}/L). A: using Tryptone. B: using Phytone®.

On-Line Growth Rate Estimation

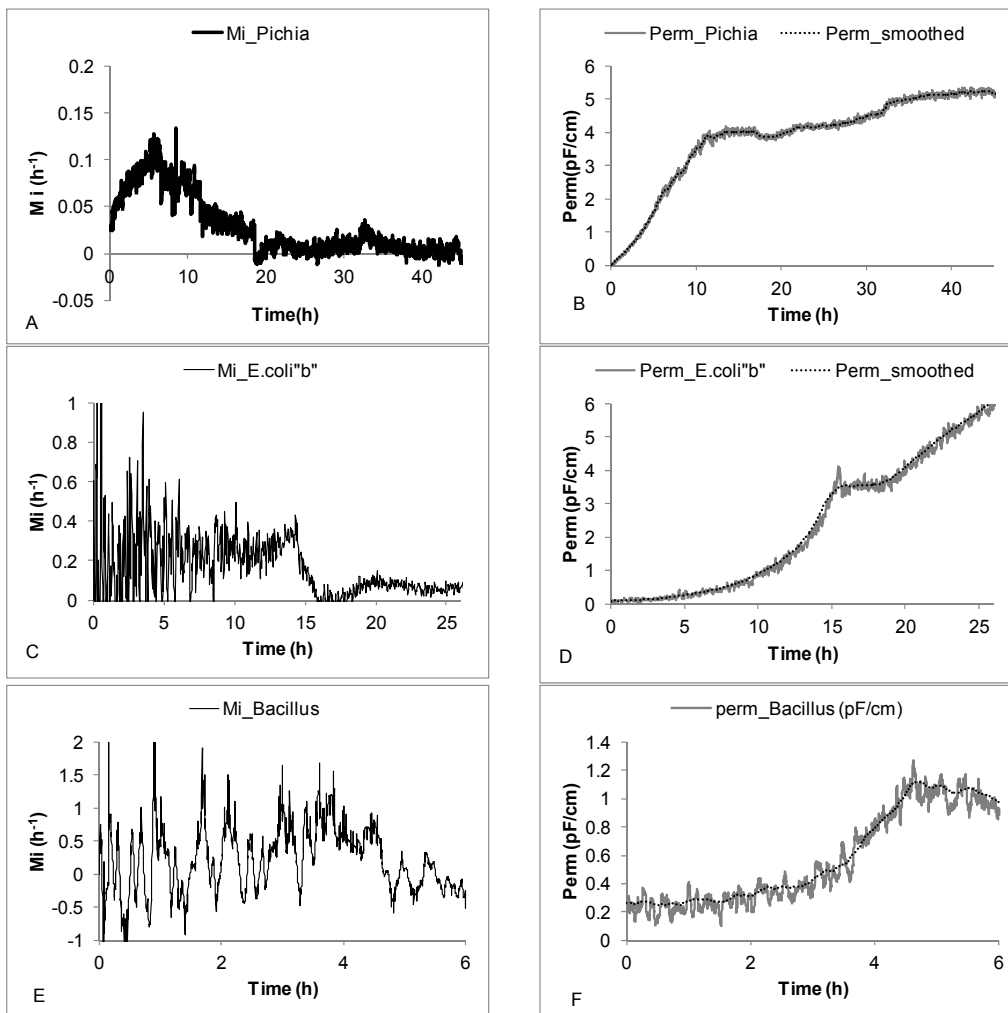
As shown previously, reliable correlations between viable cell concentrations and permittivity data can be set-up for all cases studied. On-line permittivity signals can also be employed for inferring the specific growth rate, after being subjected to the appropriate treatment with a SMA filter to improve their smoothness (Horta *et al.*, 2012).

Figure 4 compares both raw and smoothed permittivity data and presents the on-line μ values estimated from the smoothed permittivity for the microorganisms studied. The estimated μ curve followed closely the cell growth pattern, but the μ data were still too noisy, even after being treated with a SMA filter.

The amplitude of the oscillations was generally higher in the first 5-10 h of cultivation. As explained

previously, a significant scattering on the estimated biomass concentration is caused by the low precision of the capacitance probe for measuring permittivity values below ~ 1 pF/cm. The mathematical manipulation to estimate μ also contributed to amplify the noise. Time course oscillation of μ was also reported by other researchers (Xiong *et al.*, 2008; Davey *et al.*, 1996; Ferreira *et al.*, 2005) and, according to Davey *et al.* (1996), this oscillatory behaviour can also be caused by continuous readout.

This noise in the μ signal (in the early hours) presents no problems for the human analysis of growth profiles, but the μ signal cannot be used to control the feed flow rate without a new filter. Nevertheless, the feed supply is usually started at higher biomass concentrations, where the inferred μ tends to be more stable (Panels A, C and G for $t > 20$, 14 and 12 h, respectively).



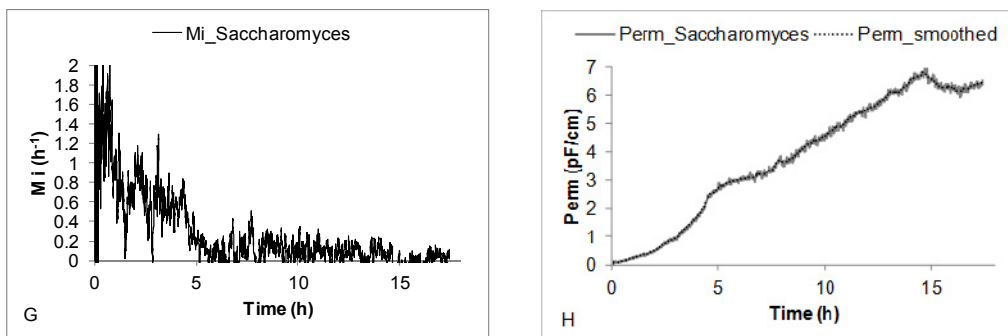


Figure 4: A, C, E, G: μ (h^{-1}) calculated online from smoothed permittivity (pF/cm); B, D, F, H: permittivity (continuous line) and smoothed permittivity (dotted line); I, J: biomass concentration in dry weight (point), and calculated by the permittivity signal. A and B: *Pichia pastoris* (Montaño, 2010). C and D: *Escherichia coli* “b”. E and F: *Bacillus megaterium* (Suárez, 2010). G and H: *Saccharomyces cerevisiae*.

Besides the on-line inference of specific growth rate, which can be used for monitoring or updating μ_{SET} via Eq. (1), the metabolic changes well reproduced by permittivity data can also be applied to improve the tuning of other parameters in the same equation. During the induction phase, cell metabolism is driven to foreign protein production. Therefore, the assumption of approximately constant values for the maintenance (m) and biomass yield (Y_{xs}) coefficients in Eq. (1) does not hold any more. In fact, Y_{xs} decreases and m increases along the induction phase and these changes need to be incorporated into Eq. (1) for a better control of the bioprocess (Horta *et al.*, 2012).

CONCLUSIONS

The capacitance sensor proved to be a reliable tool for on-line biomass monitoring during cultivation of different kinds of microorganisms, including Gram-positive and Gram-negative bacteria and the two yeasts mainly used nowadays in the biotechnology industry, *S. cerevisiae* and *P. pastoris*. After filter processing steps, the permittivity data collected allowed on-line following of the biomass formation and estimation of the specific growth rate during the cultivation process.

For recombinant *E. coli* cultures, the drastic changes occurring during the induction phase were clearly indicated by permittivity measurements. The analysis of permittivity data helped to understand the influence of media composition and the induction period on the cell response to the stress caused by protein expression. They also could be used to assess the influence of other factors, such as tem-

perature and type of inducer, during the protein production phase.

The inference of on-line specific growth rate can certainly contribute to improving the control of the growth rate and of the bioprocess as a whole. Based on the present results, a dynamic control of the exponential growth rate by maintaining the feeding rate at optimal levels in fed-batch processes was developed and implemented for *rE. coli* high cell density cultivations (Horta *et al.*, 2012). This technology is part of the monitoring and control software SUPERSYS_HCDC[®] developed and registered (Horta *et al.*, 2011) by the researchers from the Laboratory of Development and Automation of Bioprocesses, Department of Chemical Engineering of Federal University of São Carlos, Brazil.

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